

# COMPLEX MATRICES AND SPATIAL ROTATIONS

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## 1. INTRODUCTION AND DEFINITIONS

This article explores the connection between  $2 \times 2$  complex matrices and rotations of ordinary 3D space. Specifically, I will show that  $SU(2)$ , the group of  $2 \times 2$  special unitary matrices,<sup>1</sup> can act on the sphere via rotations, with each possible rotation corresponding to two  $SU(2)$  matrices. The group of rotations of the sphere (or equivalently, of 3D space) is denoted  $SO(3)$ , so another way of stating the above is that there is a surjective two-to-one homomorphism from  $SU(2)$  to  $SO(3)$ .

This two-to-one correspondence is very important in quantum mechanics, where certain state vectors (known as “spinors”) transform under  $SU(2)$  matrices, while other state vectors (known as “vectors”) transform under the corresponding  $SO(3)$  matrices. For example, the spin state of a fermion (a class of particles that includes electrons) is modeled as a spinor, while the spin state of a boson (a class of particles that includes photons) is modeled as a vector.

Although it is possible to demonstrate the correspondence between  $SU(2)$  matrices and rotations in a number of ways (quaternions, Clifford algebras, Pauli vectors, homogenous polynomials, and so on<sup>2</sup>), the proof in this article makes use of the  $SU(2)$  matrices’ identity as complex matrices, which have a natural action on complex projective space. By defining a bijection between the complex projective line and the unit sphere, we obtain an action of  $SU(2)$  (and in fact, all of  $GL(2, \mathbb{C})$ ) on the sphere. I do not assume much prior knowledge on the part of reader (only the basics of linear algebra and the complex numbers), so this article also serves as an introduction to (one-dimensional) projective space, Möbius transformations, and the Riemann sphere.

Before getting into the main proof, I will go over some preliminary definitions.

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<sup>1</sup>If you don’t know what “special unitary” means, see Definition 1.2 below.

<sup>2</sup>For an elementary exposition of the first three approaches, see [1]. For the homogenous polynomial approach, see [2, Example 4.10] with  $m = 2$ .

**Definition 1.1.** The **conjugate transpose** of a complex matrix  $A$  is the matrix  $A^\dagger$  constructed by transposing  $A$  and then complex-conjugating each entry. For example,

$$\begin{pmatrix} 1 & 3i \\ 1+i & 2-i \end{pmatrix}^\dagger = \begin{pmatrix} 1 & 1-i \\ -3i & 2+i \end{pmatrix}.$$

*Remark.* Note that  $(A^\dagger)^\dagger = A$  for all matrices  $A$ . Note also that  $(AB)^\dagger = B^\dagger A^\dagger$ ; this property carries over from the corresponding property of the transpose.

**Definition 1.2.** A square complex matrix  $A$  is **unitary** if  $AA^\dagger = I$ , where  $I$  is the identity matrix, and **special unitary** if it also has determinant 1. The set of  $n \times n$  special unitary matrices is denoted  $\text{SU}(n)$ .

**Lemma 1.3.**  $\text{SU}(n)$  is a group. That is,  $\text{SU}(n)$  includes the identity matrix, and is closed under composition and inversion.

*Proof.*  $I$  is special unitary because  $\det(I) = 1$  and  $II^\dagger = II = I$ . Furthermore, if  $A$  and  $B$  are both special unitary, then  $\det(AB) = \det(A)\det(B) = 1 \cdot 1 = 1$ , and

$$AB(AB)^\dagger = ABB^\dagger A^\dagger = AIA^\dagger = AA^\dagger = I,$$

so  $AB$  is special unitary. Finally, if  $A$  is special unitary, then  $\det(A^{-1}) = \det(A)^{-1} = 1^{-1} = 1$ , and

$$A^{-1}(A^{-1})^\dagger = A^{-1}(A^\dagger)^\dagger = A^{-1}A = I,$$

so  $A^{-1}$  is special unitary.  $\square$

In the following two sections, I will define bijections between various spaces, which will then give rise to the connection between  $\text{SU}(2)$  and  $\text{SO}(3)$ . The primary purpose of Section 2 is to give the reader some intuition for the concepts in Section 3, which deal with the complex numbers rather than the real numbers.

## 2. REAL PROJECTIVE SPACE

**Definition 2.1.** The **real projective line**  $\mathbb{RP}^1$  is the set of lines through the origin in  $\mathbb{R}^2$ . The line passing through a point  $(x, y) \neq (0, 0)$  is denoted  $[x : y]$ . Note that  $[x : y] = [kx : ky]$  for all  $k \neq 0$ , since the points  $(x, y)$  and  $(kx, ky)$  fall on the same line.

Lines through the origin in  $\mathbb{R}^2$  (i.e. elements of  $\mathbb{RP}^1$ ) are identified uniquely by their slope, which is an element of  $\mathbb{R} \cup \{\infty\}$ . The slope of the line  $[x : y]$  is  $y/x$ , or  $\infty$  if  $x = 0$ . (Note that  $ky/kx = y/x$ , so the slope is well-defined.) This constitutes a bijection between  $\mathbb{RP}^1$  and  $\mathbb{R} \cup \{\infty\}$ , the latter of which I will henceforth denote  $\hat{\mathbb{R}}$ .

$\hat{\mathbb{R}}$  is equivalent to the unit circle via stereographic projection, as shown in Figure 1. Given a point  $x \in \mathbb{R}$ , we draw a line in  $\mathbb{R}^2$  connecting  $(x, 0)$  and  $(0, 1)$ . This line intersects the unit circle at exactly one point, the coordinates of which can be calculated via elementary algebra:

$$\left( \frac{2x}{x^2 + 1}, \frac{x^2 - 1}{x^2 + 1} \right).$$

This process creates a bijection from  $\mathbb{R}$  to  $S^1 - \{(0, 1)\}$ , where  $S^1$  denotes the unit circle. To complete the bijection, we can map  $\infty \in \hat{\mathbb{R}}$  to the point  $(0, 1)$ , which

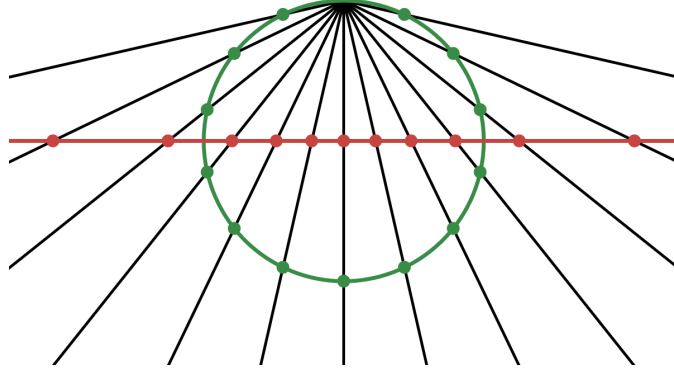


FIGURE 1. The bijection between  $S^1$  (green) and  $\hat{\mathbb{R}}$  (red), defined by stereographic projection. The point  $(0, 1) \in S^1$  corresponds to  $\infty \in \hat{\mathbb{R}}$ .

is the limit of the above expression as  $x$  tends to infinity. The inverse function, which maps  $S^1$  to  $\hat{\mathbb{R}}$ , is even easier to compute:

$$(x, y) \mapsto \frac{x}{1-y}, \quad (0, 1) \mapsto \infty.$$

In summary, we have constructed a chain of bijections  $\mathbb{RP}^1 \simeq \hat{R} \simeq S^1$ , the first via taking the slope, and the second via stereographic projection.

### 3. COMPLEX PROJECTIVE SPACE

Now that we have an understanding of  $\mathbb{RP}^1$ , it is time to investigate  $\mathbb{CP}^1$ , which is defined analogously.

**Definition 3.1.** The **complex projective line**  $\mathbb{CP}^1$  is the set of complex lines through the origin in  $\mathbb{C}^2$ . The line passing through a point  $(x, y) \neq (0, 0)$  is denoted  $[x : y]$  and is equal to  $\{(kx, ky) : k \in \mathbb{C}\}$ . Note that  $[x : y] = [kx : ky]$  for all  $k \neq 0$ .

As in  $\mathbb{RP}^1$ , an element  $[x : y] \in \mathbb{CP}^1$  is determined by its slope  $y/x \in \hat{\mathbb{C}} = \mathbb{C} \cup \{\infty\}$ . However, from now on, we will actually use the *coslope*  $x/y$ , since that is standard when working with Möbius transformations, which I will introduce in the next section.

**Lemma 3.2.** *The coslope function  $f : \mathbb{CP}^1 \rightarrow \hat{\mathbb{C}}$ ,  $[x : y] \mapsto x/y$  is a bijection. Here we define  $x/0$  to be  $\infty$ . The inverse of  $f$  is given by  $g : \hat{\mathbb{C}} \rightarrow \mathbb{CP}^1$ , which sends  $x \in \mathbb{C}$  to  $[x : 1]$  and  $\infty$  to  $[1 : 0]$ .*

*Proof.* There are four cases to check:

$$\begin{aligned} [x : y] &\xrightarrow{f} \frac{x}{y} \xrightarrow{g} [\frac{x}{y} : 1] = [x : y] && \text{if } y \neq 0 \\ [x : 0] &\xrightarrow{f} \infty \xrightarrow{g} [1 : 0] = [x : 0] && \text{if } x \neq 0 \\ x &\xrightarrow{g} [x : 1] \xrightarrow{f} \frac{x}{1} = x && \text{if } x \in \mathbb{C} \\ \infty &\xrightarrow{g} [1 : 0] \xrightarrow{f} \frac{1}{0} = \infty. \end{aligned}$$

□

Just as  $\hat{\mathbb{R}}$  is equivalent to the unit circle,  $\hat{\mathbb{C}}$  is equivalent to the unit sphere, which we denote  $S^2$ . The maps between  $\hat{\mathbb{C}}$  and  $S^2$  can be derived from the maps between  $\hat{\mathbb{R}}$  and  $S^1$  given in the last section. Explicitly,  $\varphi : \hat{\mathbb{C}} \rightarrow S^2$  is given by

$$x + iy \mapsto \left( \frac{2x}{r^2 + 1}, \frac{2y}{r^2 + 1}, \frac{r^2 - 1}{r^2 + 1} \right), \quad \infty \mapsto (0, 0, 1)$$

and  $\varphi^{-1} : S^2 \rightarrow \hat{\mathbb{C}}$ , which geometrically is stereographic projection, is given by

$$(x, y, z) \mapsto \frac{x + iy}{1 - z}, \quad (0, 0, 1) \mapsto \infty.$$

In the formula for  $\varphi$ ,  $r$  is defined as  $|x + iy|$ , so  $r^2 = x^2 + y^2$ . Note that  $\varphi$  does in fact map into the unit sphere, since

$$\frac{(2x)^2 + (2y)^2 + (r^2 - 1)^2}{(r^2 + 1)^2} = \frac{4r^2 + r^4 - 2r^2 + 1}{r^4 + 2r^2 + 1} = 1.$$

One can mechanically verify that  $\varphi$  and  $\varphi^{-1}$  are in fact inverses, but this is rather tedious, so I will omit it.

#### 4. MÖBIUS TRANSFORMATIONS

Invertible matrices map lines to lines, so there is a natural action of  $\text{GL}(2, \mathbb{C})$  — the group of invertible  $2 \times 2$  complex matrices — on  $\mathbb{CP}^1$ . Explicitly, this action is given by the following:

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} \cdot [x : y] = [ax + by : cx + dy].$$

In the last section we constructed bijections  $\mathbb{CP}^1 \simeq \hat{\mathbb{C}}$  and  $\hat{\mathbb{C}} \simeq S^2$ . We can use these bijections to convert the action of  $\text{GL}(2, \mathbb{C})$  on  $\mathbb{CP}^1$  into actions on  $\hat{\mathbb{C}}$  and  $S^2$ . The action on  $\hat{\mathbb{C}}$  is given by

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} \cdot \frac{x}{y} = \frac{ax + by}{cx + dy} = \frac{a\frac{x}{y} + b}{c\frac{x}{y} + d},$$

or in other words

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} \cdot z = \frac{az + b}{cz + d}.$$

A transformation of this type — that is, a quotient of two linear polynomials — is called a **Möbius transformation**.

Unfortunately, the action of  $\text{GL}(2, \mathbb{C})$  on  $S^2$  is much more difficult to give an explicit formula for. You could do so, of course, by applying  $\varphi^{-1}$ , followed by a general Möbius transformation, followed by  $\varphi$ , but the resulting formula is rather complicated and not of much use. Instead, I will show that three specific classes of matrices act as rotations of the sphere around the coordinate axes, and then show that these matrices generate  $\text{SU}(2)$ .

**Lemma 4.1.** *Matrices of the form*

$$U_z(\theta) = \begin{pmatrix} \exp(i\frac{\theta}{2}) & 0 \\ 0 & \exp(-i\frac{\theta}{2}) \end{pmatrix}$$

*rotate the sphere by an angle of  $\theta$  about the  $z$ -axis.*

*Proof.* As a Möbius transformation,  $U_z(\theta)$  takes  $z \in \hat{\mathbb{C}}$  to

$$\frac{\exp(i\frac{\theta}{2})}{\exp(-i\frac{\theta}{2})} z = \exp(i\theta)z.$$

In other words,  $U_z(\theta)$  simply rotates the complex plane by an angle of  $\theta$  (and leaves  $\infty$  fixed). Therefore, on the sphere,  $U_z(\theta)$  acts by applying a stereographic projection, then rotating the resulting plane by  $\theta$ , and then applying the reverse stereographic projection. Clearly, the result is that the sphere is rotated by  $\theta$  about the  $z$ -axis.  $\square$

**Lemma 4.2.** *Matrices of the form*

$$U_x(\theta) = \begin{pmatrix} \cos(\frac{\theta}{2}) & i \sin(\frac{\theta}{2}) \\ i \sin(\frac{\theta}{2}) & \cos(\frac{\theta}{2}) \end{pmatrix}$$

*rotate the sphere by an angle of  $\theta$  about the  $x$ -axis.*

*Proof.* A rotation by  $\theta$  about the  $x$ -axis is given by the function

$$R(x, y, z) = (x, y \cos \theta - z \sin \theta, y \sin \theta + z \cos \theta).$$

This corresponds to the transformation on  $\hat{\mathbb{C}}$  given by  $\varphi^{-1} \circ R \circ \varphi$ , that is

$$\begin{aligned} x + iy &\mapsto \varphi^{-1} \left( R \left( \frac{2x}{r^2 + 1}, \frac{2y}{r^2 + 1}, \frac{r^2 - 1}{r^2 + 1} \right) \right) \\ &= \varphi^{-1} \left( \frac{2x}{r^2 + 1}, \frac{2y \cos \theta - (r^2 - 1) \sin \theta}{r^2 + 1}, \frac{2y \sin \theta + (r^2 - 1) \cos \theta}{r^2 + 1} \right) \\ &= \frac{2x + i(2y \cos \theta - (r^2 - 1) \sin \theta)}{r^2 + 1} \left( 1 - \frac{2y \sin \theta + (r^2 - 1) \cos \theta}{r^2 + 1} \right)^{-1} \\ &= \frac{2x + i(2y \cos \theta - (r^2 - 1) \sin \theta)}{r^2 + 1 - 2y \sin \theta - (r^2 - 1) \cos \theta} \\ &= \frac{2x + i(2y \cos \theta - (r^2 - 1) \sin \theta)}{r^2(1 - \cos \theta) + 1 + \cos \theta - 2y \sin \theta}, \end{aligned}$$

where  $r^2 = x^2 + y^2$ . It remains to show that the expression above is actually the Möbius transformation

$$\frac{\cos(\frac{\theta}{2})(x + iy) + i \sin(\frac{\theta}{2})}{i \sin(\frac{\theta}{2})(x + iy) + \cos(\frac{\theta}{2})}.$$

To see this, we perform complex-number division and then apply the double-angle identities:

$$\begin{aligned} &\frac{\cos(\frac{\theta}{2})(x + iy) + i \sin(\frac{\theta}{2})}{i \sin(\frac{\theta}{2})(x + iy) + \cos(\frac{\theta}{2})} = \frac{x \cos(\frac{\theta}{2}) + i(y \cos(\frac{\theta}{2}) + \sin(\frac{\theta}{2}))}{\cos(\frac{\theta}{2}) - y \sin(\frac{\theta}{2}) + ix \sin(\frac{\theta}{2})} \\ &= \frac{x(\cos^2(\frac{\theta}{2}) + \sin^2(\frac{\theta}{2})) + i[y(\cos^2(\frac{\theta}{2}) - \sin^2(\frac{\theta}{2})) - (r^2 - 1)\cos(\frac{\theta}{2})\sin(\frac{\theta}{2})]}{r^2 \sin^2(\frac{\theta}{2}) + \cos^2(\frac{\theta}{2}) - 2y \cos(\frac{\theta}{2}) \sin(\frac{\theta}{2})} \\ &= \frac{x + i(y \cos \theta - \frac{1}{2}(r^2 - 1) \sin \theta)}{\frac{1}{2}r^2(1 - \cos \theta) + \frac{1}{2}(1 + \cos \theta) - y \sin \theta} \\ &= \frac{2x + i(2y \cos \theta - (r^2 - 1) \sin \theta)}{r^2(1 - \cos \theta) + 1 + \cos \theta - 2y \sin \theta}. \end{aligned} \quad \square$$

**Lemma 4.3.** *Matrices of the form*

$$U_y(\theta) = \begin{pmatrix} \cos(\frac{\theta}{2}) & \sin(\frac{\theta}{2}) \\ -\sin(\frac{\theta}{2}) & \cos(\frac{\theta}{2}) \end{pmatrix}$$

*rotate the sphere by an angle of  $\theta$  about the  $y$ -axis.*

*Proof.* Note that  $U_y(\theta) = U_z(\frac{\pi}{2}) U_x(\theta) U_z(\frac{-\pi}{2})$ . (This is straightforward to verify.) Therefore,  $U_y(\theta)$  acts on the sphere by first rotating it  $\pi/2$  radians clockwise about the  $z$ -axis, then rotating it  $\theta$  radians about the  $x$ -axis, and then rotating it  $\pi/2$  radians counterclockwise about the  $z$ -axis. The overall effect is a rotation by  $\theta$  about the  $y$ -axis.  $\square$

## 5. PUTTING THINGS TOGETHER

The results of the last section imply that the group generated by the three families of matrices  $U_x(\theta)$ ,  $U_y(\theta)$ , and  $U_z(\theta)$  acts on the sphere via rotations. It is easy to check that these matrices are all in  $SU(2)$ , and one can in fact show that they generate  $SU(2)$ ; see Lemma 5.2 below. Before proving that, however, it is necessary to characterize the elements of  $SU(2)$ .

**Lemma 5.1.** *Every special unitary  $2 \times 2$  matrix is of the form*

$$\begin{pmatrix} a & -\bar{c} \\ c & \bar{a} \end{pmatrix}$$

*where  $a, c \in \mathbb{C}$  and  $|a|^2 + |c|^2 = 1$ .*

*Proof.* Suppose that

$$A = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$$

is special unitary; that is,  $AA^\dagger = I$  and  $\det(A) = 1$ . Note that

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{pmatrix} d & -b \\ -c & a \end{pmatrix} = \begin{pmatrix} \det(A) & 0 \\ 0 & \det(A) \end{pmatrix} = I.$$

Since matrix inverses are unique, this implies that the second matrix above is actually  $A^\dagger$ ; that is,

$$\begin{pmatrix} d & -b \\ -c & a \end{pmatrix} = \begin{pmatrix} \bar{a} & \bar{c} \\ \bar{b} & \bar{d} \end{pmatrix},$$

or in other words,  $d = \bar{a}$  and  $b = -\bar{c}$ . The fact that  $\det(A) = 1$  then becomes  $|a|^2 + |c|^2 = 1$ .  $\square$

**Lemma 5.2.** *The three families of matrices  $U_x(\theta)$ ,  $U_y(\theta)$ , and  $U_z(\theta)$  generate  $SU(2)$ . Therefore,  $SU(2)$  acts on the sphere via rotations.*

*Proof.* Let  $A \in SU(2)$  be any special unitary matrix. By Lemma 5.1,

$$A = \begin{pmatrix} a & -\bar{c} \\ c & \bar{a} \end{pmatrix}$$

with  $|a|^2 + |c|^2 = 1$ . The requirement that  $|a|^2 + |c|^2 = 1$  can be restated as “ $(|a|, |c|)$  is a point on the unit circle”, which implies that  $|a| = \cos(\beta)$  and  $|c| = \sin(\beta)$  for some angle  $\beta$ . So, writing  $a$  and  $c$  in polar form, we have

$$a = \cos(\beta)e^{i\alpha} \quad \text{and} \quad c = \sin(\beta)e^{i\gamma}$$

for some  $\alpha$  and  $\gamma$ . Now note that

$$U_z(\alpha - \gamma)U_y(-2\beta)U_z(\alpha + \gamma) = \begin{pmatrix} \cos(\beta)e^{i\alpha} & -\sin(\beta)e^{-i\gamma} \\ \sin(\beta)e^{i\gamma} & \cos(\beta)e^{-i\alpha} \end{pmatrix} = A. \quad \square$$

All that remains is to show that the homomorphism from  $\text{SU}(2)$  to  $\text{SO}(3)$  (i.e. the action of  $\text{SU}(2)$  on the sphere by rotations) is surjective and two-to-one. Surjectivity follows from the fact that rotations about the three coordinate axes generate all of  $\text{SO}(3)$ . This can be proven in much the same way as Lemma 5.2: any rotation can be written as a composition of a  $z$ -rotation, followed by a  $y$ -rotation, followed by another  $z$ -rotation. The proof of two-to-one-ness relies on the nature of projective space:

**Lemma 5.3.** *For any  $A \in \text{SU}(2)$ , the set  $\{X \in \text{SU}(2) : X \text{ acts the same as } A \text{ on the sphere}\}$  is precisely  $\{A, -A\}$ .*

*Proof.* Note that  $A$  and  $X$  act the same on the sphere if and only if  $A^{-1}X$  acts as the identity. And  $A^{-1}X$  does nothing to the sphere if and only if it does nothing to  $\mathbb{CP}^1$ , since the two actions are equivalent by construction. Recalling the definition of  $\mathbb{CP}^1$  as the set of (complex) lines through the origin in  $\mathbb{C}^2$ , this simply means that  $A^{-1}X$  has every vector as an eigenvector, which is only possible if  $A^{-1}X = kI$  for some  $k$ . Rearranging this equation, we get  $X = kA$ .

So the question we must answer is: Which matrices  $X = kA$  are in  $\text{SU}(2)$ ? Since  $A \in \text{SU}(2)$ , we know that  $\det(A) = 1$ , so  $\det(X) = \det(kA) = k^2$ . For  $X$  to be in  $\text{SU}(2)$ ,  $k^2$  must be 1, implying that  $k = \pm 1$ , and thus  $X = \pm A$ .

Note that  $-A$  is in fact unitary, in addition to having determinant 1:

$$-A(-A)^\dagger = -A(-A^\dagger) = AA^\dagger = I. \quad \square$$

In summary, we have shown the following:

- There is a bijection between  $\mathbb{CP}^1$  and the unit sphere, defined by first taking the coslope and then applying a stereographic projection.
- Under this bijection, the natural action of  $\text{GL}(2, \mathbb{C})$  on  $\mathbb{CP}^1$  gives rise to an action on the sphere.
- $\text{SU}(2)$  matrices act on the sphere via rotations, and there are exactly two  $\text{SU}(2)$  matrices for each rotation.

In the process of proving the above, we found explicit formulae for the  $\text{SU}(2)$  matrices that act via rotation around the coordinate axes.

## 6. CLOSING REMARKS

Now that I have proven what I set out to prove, I have a few final remarks. (The last few require mathematical knowledge beyond that assumed in the preceding sections to understand.)

- *Real* special unitary matrices are precisely those matrices that act on  $\mathbb{R}^n$  via rotations. (Such matrices are also known as *special orthogonal* matrices; this is where the notation  $\text{SO}(3)$  comes from.) So special unitary matrices are a generalization of rotations to the complex numbers, and in particular,  $\text{SU}(2)$  can be thought of as the group of rotations of  $\mathbb{C}^2$ . What we have shown then, is that there are two rotations of  $\mathbb{C}^2$  for each rotation of  $\mathbb{R}^3$ , and in a homomorphic way.

- $U_z(2\pi)$  is not equal to  $I$ ; it is in fact equal to  $-I$ . Similarly,  $U_x(2\pi)$  and  $U_y(2\pi)$  are both equal to  $-I$ . In other words, the  $SU(2)$  matrices that rotate  $\mathbb{R}^3$  by 360 degrees (thus returning it to its original position) only rotate  $\mathbb{C}^2$  by 180 degrees. In general, an  $SU(2)$  matrix that rotates  $\mathbb{R}^3$  by  $\theta$  only rotates  $\mathbb{C}^2$  by  $\frac{\theta}{2}$ . (The formulas for  $U_x(\theta)$ ,  $U_y(\theta)$ , and  $U_z(\theta)$  all contain  $\frac{\theta}{2}$  but not  $\theta$ .)
- In physics, where the spin of an electron is modeled as a “spinor” (a 2D complex vector acted on by  $SU(2)$  matrices), this has the effect that, if an electron is rotated 360 degrees, its spin vector is negated, and only returns to its original state under a 720 degree rotation. The value of a spinor thus depends not only on the final result of the rotation, but also on the path that the rotation took. (See below for a glimpse of how this is formalized in topology.)
- $SU(2)$  has one irreducible representation in each dimension; the homomorphism to  $SO(3)$  is simply the three-dimensional representation. The odd-dimensional representations of  $SU(2)$  are also representations of  $SO(3)$ , but the even-dimensional representations are not [2, Sec. 4.7]. The irreducible representation of  $SU(2)$  in  $n$  dimensions is known as the “spin  $\frac{n-1}{2}$  representation”. In particular, the standard (2-dimensional) representation of  $SU(2)$  is called the spin- $\frac{1}{2}$  representation, because the angles involved are half of what they are in the 3-dimensional representation. This is why electrons and other fermions (particles whose spin is modeled as a spinor) are said to have spin  $\frac{1}{2}$ .
- It is a theorem in topology that every connected manifold  $X$  has a unique simply connected covering manifold  $\tilde{X}$ . If  $X$  is a Lie group, then  $\tilde{X}$  can also be given the structure of a Lie group, such that the covering map from  $\tilde{X}$  to  $X$  is a homomorphism. Concretely,  $\tilde{X}$  can be constructed as the space of paths out of the identity in  $X$  up to path homotopy, with the covering map  $\tilde{X} \rightarrow X$  given by taking the endpoint of a path. The connection between  $SO(3)$  and  $SU(2)$  is a special case of this general result [2, Sec. 5.8].
  - Topologically,  $SO(3)$  is real projective 3-space [2, Prop. 1.17], which has fundamental group  $\mathbb{Z}/2\mathbb{Z}$ .\* Meanwhile,  $SU(2)$  is the 3-sphere (a consequence of Lemma 5.1), which is simply connected. The latter is the universal cover of the former, and the covering map is two-to-one because  $\mathbb{Z}/2\mathbb{Z}$  has two elements.
  - \*In particular, the loop in  $SO(3)$  corresponding to a 360 degree rotation is *not* homotopic to the identity, while the loop corresponding to a 720 degree rotation is. This can be demonstrated physically with a variety of tricks, such as the plate trick and the belt trick [3].
  - An informal way of stating all of this is that  $SU(2)$  is the group of “rotations that keep track of how they got there (up to homotopy)”.



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